



32692

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: SIMMONS, RICHARD L.

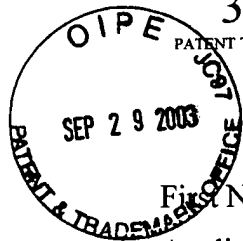
Application No.: 09/927663

Group Art Unit: 2839

Filed: August 10, 2001

Examiner: Prasad, Chandrika

Title: THREE DIMENSIONAL OPTICAL CIRCUITS

Patent
Case No.: 56964US002*Handwritten notes:*
#22
TSP
Chandra
PrasadINFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
Date <u>9-25-03</u>	Signed by: <u>Tom Sanders</u> Signed by: Tom Sanders

Vertical stamps:
RECEIVED
OCT - 2003
TC 2800

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

The references, US 5,134,673, US 5,155,785, US 5,204,925, US 5,222,179, US 5,239,609, US 5,367,595, US 5,381,501, US 5,394,502, US 5,734,777, US 5,774,617, US 5,926,598, US 5,937,133, US 6,005,991, US 6,034,821, US 6,088,493, US 6,151,437, US 6,148,134, US 6,185,348 B1, US 6,222,976 B1, WO 99/45719 A1, listed on the enclosed completed Form PTO 1449 were previously submitted on March 22, 2002.

The reference, EP 1 180 708 A2, listed on the enclosed completed Form PTO 1449 was previously submitted on August 27, 2002.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37

10/03/2003 JBALINAN 00000071 133723 09927663

01 FC:1806 180.00 DA

CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

25 September 2003
Date

By: Yen Jong Florczak
Yen Jong Florczak, Reg. No.: 45,163
Telephone No.: (512) 984-4669

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

August 10, 2001

First Named Inventor

Simmons, Richard L.

Art Unit

2633

Examiner Name

Prasad, Chandrika

Attorney Case Number

56964US002

Use as many sheets if necessary)

Page 1 of 1

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,134,673	07/28/1992	Stephenson <i>et al.</i>	
	A2	US- 5,155,785	10/13/1992	Holland <i>et al.</i>	
	A3	US- 5,204,925	04/20/1993	Bonanni <i>et al.</i>	
	A4	US- 5,222,179	06/22/1993	Auteri	
	A5	US- 5,239,609	08/24/1993	Auteri	
	A6	US- 5,367,595	11/22/1994	Jennings <i>et al.</i>	
	A7	US- 5,381,501	01/10/1995	Cardinal <i>et al.</i>	
	A8	US- 5,394,502	02/28/1995	Caron	
	A9	US- 5,734,777	03/31/1998	Merriken <i>et al.</i>	
	A10	US- 5,774,617	06/30/1998	Stockman <i>et al.</i>	
	A11	US- 5,926,598	07/20/1999	Klein	
	A12	US- 5,937,133	08/10/1999	Moss <i>et al.</i>	
	A13	US- 6,005,991	12/21/1999	Knasel	
	A14	US- 6,034,821	03/07/2000	Schenfeld <i>et al.</i>	
	A15	US- 6,088,493	07/11/2000	Brenner	
	A16	US- 6,151,437	11/21/2000	Cherbettchian <i>et al.</i>	
	A17	US- 6,148,134	11/14/2000	Schoon	
	A18	US- 6,185,348 B1	02/06/2001	Shahid	
	A19	US- 6,222,976 B1	04/24/2001	Shahid	

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	WO	99/45719 A1	09/10/1999			
	B2	EP	1 180 708 A2	02/20/2002			

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.